**Annexure – B**

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

**Data for every month ending-May- 2022**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **S**  **N** | **Receive**  **d from** | **Carried**  **forwar d from previou s**  **month** | **Receive**  **d during the month** | **Total**  **Pendin g** | **Resolve**  **d\*** | **Pending at the**  **end of the month\*\*** | | **Average**  **Resoluti on time^ (in days)** |
|  |  |  |  |  |  | **Pendin**  **g for less than 3 month**  **s** | **Pendin**  **g for more than 3 month**  **s** |  |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | | **8** |
| 1 | Directly  from  Investors | 0 | 0 | 0 | 0 | 0 | | 0 |
| 2 | SEBI  (SCORE S) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 3 | Stock  Exchang es | 2 | 0 | 1 | 3 | 0 | | 15 |
| 4 | Other  Sources  (if any) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 5 | **Grand**  **Total** | 2 | 0 | 1 | 3 | 0 | | 15 |

**ANNEXURE- B**

**Format of Complaints against Custodians and DDPs to be displayed on their websites**

**A. Data for the Month ending – May 2022**

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **S.No** | **Received** | **Pending** | **Received** | **Resolved** | **Total** | **Complaints** | **Average** |
| **from** | **at the** | **during** | **during the** | **Pending** | **Pending > 1** | **Resolution** |
|  | **end of** | **the** | **month\*** | **at the end** | **month** | **time^** |
|  | **the last** | **month** |  | **of month** |  | **(in days)** |
|  | **month** |  |  | **\*\*** |  |  |
| 1 | Directly |  |  |  |  |  |  |
| from | 0 | 0 | 0 | 0 | 0 | 0 |
| Investors |  |  |  |  |  |  |
| 2 | SEBI | 0 | 0 | 0 | 0 | 0 | 0 |
| (SCORES) |  |  |  |  |  |  |
| 3 | Stock | 0 | 0 | 0 | 0 | 0 | 0 |
| Exchanges |  |  |  |  |  |  |
| (if relevant) |  |  |  |  |  |  |
| 4 | Other | 0 | 0 | 0 | 0 | 0 | 0 |
| Sources |  |  |  |  |  |  |
| (if any) |  |  |  |  |  |  |
| 5 | **Grand** | 0 | 0 | 0 | 0 | 0 | 0 |
| **Total** |  |  |  |  |  |  |